



Stiftung Auffangeinrichtung BVG  
Fondation institution supplétive LPP  
Fondazione istituto collettore LPP

# Cost regulations

Regulations on contributions for special administrative costs in the BVG/LPP-business unit

**Adopted on**  
20.09.2021

**Valid from**  
01.01.2022

## Art. 1 Introduction

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<sup>1</sup> The Board of Trustees of the « Schweizerische Sozialpartner-Stiftung für die Auffangeinrichtung gemäss Art. 60 BVG (Stiftung Auffangeinrichtung BVG) »/« Fondation suisse des partenaires sociaux pour l'institutions supplétive selon l'art. 60 LPP » [hereafter: Foundation] issues these regulations based on the BVG/LPP, the deed of foundation and the Ordinance of 28.08.1985 on the entitlements of the Substitute Occupational Benefit Institution for occupational benefits (SR/RS 831.434).

<sup>2</sup> These Regulations define the contributions for special administrative costs that are charged in the event of special expenses in the BVG/LPP-business unit.

## Art. 2 Amount of contributions for special administrative costs

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<sup>1</sup> The following contributions are charged in case of an ordinary affiliation:

a. Payroll reminder	CHF	100
b. Additional dispatch of documents due to invalid affiliation addresses	CHF	100
c. Late notice of admissions, per insured person and calendar year in which there is an obligation to pay contributions	CHF	100
d. Late notice of departures , per insured person	CHF	100
e. Late notice of salary changes , per insured person	CHF	100
f. Termination of an affiliation agreement without insured persons	CHF	100
g. Termination of an affiliation agreement with insured persons:		
– basic contribution	CHF	500
– additional contribution per insured person (active insured persons and pensioners)	CHF	100

<sup>2</sup> In the case of compulsory affiliation, the following contributions are charged:

a. Decision on compulsory affiliation (Art. 60 para. 2 lit. a and d BVG/LPP):		
– basic contribution	CHF	450
– additional contribution per insured person	CHF	50
b. Implementation of a compulsory affiliation following an initial or a re-affiliation check	CHF	575
c. Decision on reconsideration	CHF	450
d. Payment of pension benefits in the absence of an affiliation (Art. 12 para. 2 BVG/LPP)	CHF	750

<sup>3</sup> The following contributions are charged in case of debt collection:

a. Reminder	CHF	60
b. Debt enforcement	CHF	150
c. Submission of claims	CHF	150
d. Continuation request	CHF	150
e. Initiation of legal proceedings	CHF	600
f. Bankruptcy petition	CHF	150
g. Insolvency filing with the Security Fund	CHF	500
h. Application for sale	CHF	100

- i. Proposal of a payment plan:
  - basic contribution CHF 50
  - additional contribution per repayment instalment CHF 10

<sup>4</sup> All other special expenses shall be charged on a time and expense basis with the following hourly rates:

- a. Hourly rate for qualified specialists CHF 250
- b. Hourly rate for executives CHF 150
- c. Hourly rate for customer service staff CHF 100

### **Art. 3 Issue and application of these Regulations**

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<sup>1</sup> These Regulations were approved by the Board of Trustees on 08.05.2020 and entered into force on 01.01.2021.

<sup>2</sup> Affiliated employers, insured persons and the regulatory authority shall be informed of this.

<sup>3</sup> They replace the previous cost regulations, which were valid from 01.01.2018.

<sup>4</sup> They are issued in German and can be translated into other languages. In the event of any discrepancies between the German text and a translation, the German text shall prevail.

<sup>5</sup> They may be amended by the Board of Trustees at any time.

<sup>6</sup> All cases not expressly provided for in these Regulations shall be decided by the Board of Trustees in the interests and spirit of the deed of foundation and these regulations, as well as in consideration of the applicable statutory provisions.

Note: Where directives and regulations are not yet written in gender-neutral language and have not been presented to the Board of Trustees in another context, the Board of Trustees gave the Management the authority in a resolution of 20.09.2021 to adapt such directives and regulations accordingly without presenting them to the Board of Trustees. On the basis of this resolution, the Management has adapted these regulations by resolution of 30.11.2021 with effect from 01.01.2022.

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